

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: *Gregor et al.*

FILED: May 12, 2006

SERIAL NO.:

FOR: Compositions and Methods for Synergistic  
Induction of Antitumor Immunity§  
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ART UNIT:

EXAMINER:

DOCKET:  
D6547MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450CERTIFICATE OF MAILING UNDER 37 CFR 1.10

Dear Sir:

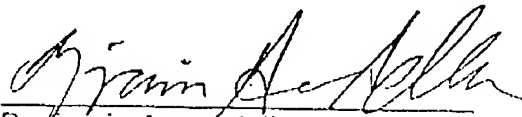
I hereby certify that the following documents, which are attached, are being deposited, under 37 CFR 1.10, with the United States Postal Service "Express Mail Post Office to Addressee" service as Express Mail No. EV744388852US in an envelope addressed to: MS PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below:

- 1) Transmittal Letter for National Stage Application;
- 2) Preliminary amendment;
- 3) Sequence listing; Sequence Compliance Letter +CRF; and
- 4) Four (4) Combined Declarations and Power of Attorney.

Please return the enclosed postcard acknowledging receipt of this correspondence.

Respectfully submitted,

Date: May 12, 2006  
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INTELLECTUAL PROPERTY LAW  
(PATENT, BIOTECHNOLOGY, COMPUTER,  
TRADEMARK & TRADE SECRET LAW)

May 12, 2006

Docket No.: D6547

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**APPLICATION FOR PATENT UNDER 35 USC 371**

Dear Sir:

Applicants hereby request entry into the US national stage under 35 U.S.C. 371 and 37 C.F.R. 1.495 of international application PCT/US2004/038022, filed November 15, 2004, now abandoned, the specification of which was communicated to the United States Patent Office by the International Bureau under PCT Article 20 on June 02, 2005 and which international application claims benefit of priority under 35 USC 119(e) of provisional U.S. Serial No. 60/519,498 filed November 13, 2003, now abandoned, in the:

Name of: **Gregor et al.**For: *Compositions and Methods for Synergistic Induction of Antitumor Immunity***CLAIMS AS FILED**

Fee for:	Small entity	Amount
Basic fee national state fee	\$150	\$150
National stage search fee (US is ISA)	\$ 50	\$ 50
National stage examination fee	\$100	\$100
Each independent claim		
in excess of 3 (0)	\$100	\$ 0
Each claim excess of 20 (12)	\$ 25	\$300
Additional pages over 100;		
for every 50 pages	\$125	\$ 0
Multiple dependent claim		
<b>TOTAL FILING FEE</b>		<b>\$600</b>

1. ☒ The Commissioner is hereby authorized to charge the total filing fee and any additional fee, which may be required, or credit any overpayment to Deposit Account No. 07-1185

☒ Any additional fees under 37 CFR 1.16.

☒ Any application processing fees under 37 CFR 1.17.

2. ☒ Small Entity Statement

Applicants hereby claim small entity status under 37 CFR 1.27(a).

3. ☒ Relate Back

This US national stage application claims benefit of priority under 35 U.S.C. 371 of international application PCT/US2004/038022, filed November 15, 2004, now abandoned, which claims benefit of priority under 35 USC 119(e) of provisional 60/519,498 filed November 13, 2003, now abandoned.

4. ☒ Sequence Listing

The sequence listing is enclosed, including a paper copy, a computer readable form and a compliance letter indicating that the sequence listing on the paper copy and the disk are one and the same.

5. ☒ Preliminary Amendment

A preliminary amendment is enclosed.

6. ☒ Power of Attorney

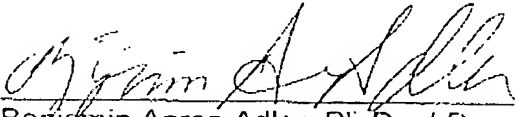
☒ is attached.

☒ Address all future communications to:

Benjamin Aaron Adler  
ADLER & ASSOCIATES  
8011 Gardia Lane  
Houston TX 77071  
Tel.: (713) 271-5391  
Fax.: (713) 270-5361  
BADLER1@houston.rr.com

7. ☒ Two photocopies of this sheet are enclosed.

Date: Aug 14, 2006

  
Benjamin Aaron Adler, Ph.D., J.D.  
Counsel for Applicant  
Registration No. 35,423

**10/2/2006**  
 OF THE PATENT OFFICE, PLACED HEREON, ACKNOWLEDGES RECEIPT OF:

Applicant: Greger et al. Attorney: Benjamin Aaron Adler  
 Serial No.: \_\_\_\_\_ Docket No.: D6547 Date: 05-02-06

- |  |   |
|--|---|
| <input type="checkbox"/> Provisional Patent Application w/cover sheet                                    | <input type="checkbox"/> Petition for Extension of Time ____ month(s)           |
| <input checked="" type="checkbox"/> Sequence Listing w/ Compliance Letter + CRF                          | <input type="checkbox"/> Preliminary Amendment                                  |
| <input type="checkbox"/> Application for Patent including <input type="checkbox"/> PCT                   | <input type="checkbox"/> Response to Notice to File Missing Parts               |
| ____ pgs spec ____ total claims ____ pgs claims  | <input type="checkbox"/> Response to Office Action <input type="checkbox"/> RAF |
| <input type="checkbox"/> Request for filing <input type="checkbox"/> Continuation                        | <input type="checkbox"/> Response to Restriction Requirement                    |
| <input type="checkbox"/> Divisional  | <input type="checkbox"/> Priority Document                                      |
| <input type="checkbox"/> Request for Continued Examination   | <input type="checkbox"/> Issue Fee Transmittal                                  |
| <input type="checkbox"/> Drawings: ____ sheets   | <input type="checkbox"/> Amendment after allowance                              |
| <input type="checkbox"/> Form PTO-2032 for \$ _____  | <input type="checkbox"/> Information Disclosure Statement                       |
| <input checked="" type="checkbox"/> Certificate of Mailing 1.10  | <input type="checkbox"/> PTO form 1449  |
| Express No.: <u>EV 74438885245</u>   | <input type="checkbox"/> Notice of Appeal                                       |
| <input type="checkbox"/> Certificate of Mailing 1.8  | <input type="checkbox"/> Notice of Appeal                                       |
| <input checked="" type="checkbox"/> Transmittal letter <input type="checkbox"/> Drawing Transmittal      | <input type="checkbox"/> Transmittal letter for App.                            |
| <input type="checkbox"/> Affidavit or <input type="checkbox"/> Declaration <input type="checkbox"/> Oath | <input type="checkbox"/> PCT Request  |
| <input checked="" type="checkbox"/> Combined Declaration and Power of Attorney (4)                       | <input type="checkbox"/> PCT Demand   |
| <input type="checkbox"/> Assignment <input type="checkbox"/> Assignment Rec. Cover Sheet                 | <input type="checkbox"/> Power of Attorney by applicant                         |
| <input checked="" type="checkbox"/> Postcard   | <input type="checkbox"/> Other: _____   |

10/2/2006 PCT/PTO 12 MAY 2006



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/579,356	FIRST NAMED APPLICANT Polly Gregor	ATTY. DOCKET NO. D6547
INTERNATIONAL APPLICATION NO. PCT/US04/38022		
IA FILING DATE 11/15/2004	PRIORITY DATE 11/13/2003	

Benjamin Aaron Adler  
Adler & Associates  
8011 Candle Lane  
Houston, TX 77071

CONFIRMATION NO. 7507  
371 FORMALITIES LETTER  
"OC000000021737978"

Date Mailed: 12/27/2006

**NOTICE OF INSUFFICIENT BASIC NATIONAL FEE REQUIRED AND/OR MISSING COPY  
OF INTERNATIONAL APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495**

Indication of Small Entity Status has not been received.

The current record of this application indicates that the basic national fee (37 CFR 1.492(a)) required is \$300.

**The basic national fee to enter the national stage in the United States of America under 35 U.S.C. 371 must be paid by 30 months from the priority date (37 CFR 1.495(b)(2)).**

If the proper basic national fee is not paid within 30 months from the priority date, the international application will become ABANDONED as to the United States of America, and will not be accepted for national examination.

The amount required to be paid may change prior to payment, applicant must pay the revised amount.

The current basic national fees are listed at 37 CFR 1.492(a). In addition, current FOT related fees are listed in each weekly issue of the Official Gazette of the United States Patent and Trademark Office. The amount of the fees can be obtained from the USPTO's web site [www.uspto.gov](http://www.uspto.gov) or by contacting the Public Service Center at (703) 308-4357.

Extensions of time under the provisions of 37 CFR 1.136 are not available for compliance with the requirements of 37 CFR 1.495(b)(2).

For filing the basic national fee, the first class certificate of mailing procedure of 37 CFR 1.8 is NOT available to establish the date of mailing as the date of receipt in the USPTO (see 37 CFR 1.8(a)(2)(C)(F)). The Express Mail procedure of 37 CFR 1.10 may be used.

The basic national fee may not be submitted via facsimile transmission.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.

<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

VIRGINIA L IRBY

Telephone: (703) 308-9140 EXT 229

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. OF FIRM NO.
10/579,356	PCT/US04/38022	D6547

FORM PCT/DO/EO/912 (371 Formattiles Notice)